



Instructors' Biodata

Mr CHONG Lock Kuah, CFA is currently a Trading Representative and Registered Representative at UOB Kay Hian and adjunct lecturer at SHRI, conducting lectures in Diploma in Investment. He is currently a part-time tutor at NUS Business School. He has extensive teaching experience in finance and investment related courses. He conducts on a regular basis, in-house preparatory courses for Capital Markets & Financial Advisory Services (CMFAS) examination as well as Compulsory Education Programs for Foreign Investment Banks and Stock Broking Firms. He also conducts investment courses/seminar for Singapore Exchange Limited (SGX).

Mr. Chong started teaching CFA® Examination review courses for CFA Singapore since 2004.

Dr KONG Yoon Kee, PhD, CFA joined NTU, Nanyang Business School in December 2000 as a lecturer in the Division of Banking and Finance. He holds a Ph.D. in Finance from NTU, master and undergraduate degrees in Physics from the Louisiana State University and NUS, respectively, and is both a CFA Charterholder and Certified Financial Risk Manager.

Prior to joining the academia, he has extensive experience in the banking and finance sector and has worked in leading American, European, Japanese and local banks. His wide knowledge and practical experience in financial markets and products include money and capital markets, equities, foreign exchange, forwards, futures, options, financial engineering and structuring and risk management. He has also taught MBA courses, and CFA® revision classes for CFA Singapore. At NTU, he has taught Treasury and Risk Management, Equity Securities, Financial Management and Financial Markets and Institutions.

Dr Joseph LIM, PhD, CFA is a director of Vanden Advisors LLP and an Adjunct Associate Professor of Finance at the NUS Business School.

Joseph was the President of CFA Singapore and serves or has served on various committees such as the Investment Management Association of Singapore (IMAS), the Investor Education Advisory Committee and the Most Transparent Company Award Selection Committee of the Securities Investor Association of Singapore (SIAS) and the Investment Management sub-group of the Financial Industry Competency Standards Committee, and the board of the National University of Singapore Academic Staff Provident Fund.

From 2002-2004, Joseph was the Presidents' Council Representative (PCR) representing the Asia-Pacific CFA Institute's societies and chapters. He has also served as a CFA grader and was a member of the CFA Institute Continuing Education and Nominations task forces as well as the 2005 CFA Institute Board of Governors nomination committee.



Dr LOW Chee Kiat, PhD, CFA earned a BBA with First Class Honours from the National University of Singapore in 1991 and a PhD in Financial Economics from Yale University in 2000. He is also a CFA charterholder since 2002.

Dr Low joined NUS in 1992 as a Senior Tutor and went to Yale University for PhD studies on a NUS scholarship. He is currently an Assistant Professor of Finance at the NUS Business School. He teaches Investment Analysis & Portfolio Management to MBA students and senior undergraduate students. He also trains Honours students in Empirical Methods in Finance.

His research works include the investigation of asymmetries in risk perception and measurement, the relevance of behavioral biases in the pricing of financial securities and asset pricing issues in general.

Dr Low has consulted for the Singapore Exchange in two projects – Profiling of Stock and Non-Stock Investors (2004) and Retail Investor Segmentation and Profiling (2005); and for Fidelity Investments Singapore in a study of Portfolio Diversification of Singaporean Investors (2006). He volunteers time at the CFA Singapore Society and serves as Chairman of the Candidate Programs Sub-Committee.

Mr OH Tuan Chim, CFA is currently Head of Country Compliance, Standard Chartered Bank ("SCB"). Prior to his appointment at SCB, he held the position of Vice President, Head, Member Supervision Department, Risk Management & Regulation Division at the Singapore Exchange. His career also spanned several investment banks in Singapore, in various capacities including Assistant Vice President of Debt Original Group at OCBC Bank, Corporate Dealer of Treasury Marketing Sales at National Westminster Bank, Group Leader of Structured Derivatives Audit at Chase Manhattan Bank.

Mr. Oh is a member of ICPAS and a Fellow of the Institute of Chartered Certified Accountants. He graduated from the National University of Singapore with a Bachelor of Accountancy, Master of Science in Mathematics and Master of Science in Finance Engineering.

Mr S S SANDHU, CA is a Chartered Accountant and has over 15 years of experience in the public accounting profession and notably in financial analysis. He has worked on the audits and special engagements of many multinational corporations and public listed companies in Australia and Singapore. He is often invited as a speaker by government-linked companies and multinational corporations on the development of financial reporting standards and changes in regulatory environment.



Mr Olaf STIER, CFA is a portfolio manager and partner of LSN Portfolio Management, an investment company incorporated since 2005 in Singapore. He is responsible for fund management and advisory in Asian Fixed Income and Asian Currencies.

Before forming LSN, he spent nine years at Deutsche Bank (DB) working in areas of Emerging Markets, Interest Rates and Foreign Exchange based out of London, Frankfurt, Tokyo and Singapore, managing portfolios of up to EUR2 Bio. Since 2003 he was responsible for building up DB's quantitative investment fund business in Asia. For DB in Asia since 2000, he was a proprietary trader for Asian Fixed Income and Currencies, running portfolios out of Tokyo and Singapore. While at DB Frankfurt and London, he was running the Emerging Markets (Eastern Europe) short-term Fixed Income and Derivatives book. He received his BA and MBA from the University of Passau, Germany.

Mr TAN Chin Hwee, CFA, CPA runs Apollo capital market business for Asia Pacific. Apollo is a top global investment firm based out of New York with offices in various cities. He was a Managing Director at Amaranth, where his primary responsibilities were identifying and evaluating public and private investment opportunities across the capital structure throughout Asia from June 2003. Prior to joining Amaranth, from September 2001 to May 2003, Mr. Tan worked part-time for Derosa Research and Trading Inc. while pursuing his MBA education at Yale School of Management. From 1999 to 2001, he ran the proprietary credit portfolio for Development Bank of Singapore (DBS). Mr. Tan began his career in 1995 at the treasury division of Keppel Corporation, where he traded proprietary Asian equities and fixed-income until 1999.

He received a Bachelor of Accountancy degree and graduated with 2nd Class Upper Honors in 1995 from the Nanyang Technological University, Singapore. He is a Chartered Financial Analyst (CFA) and a Singapore-registered Certified Public Accountant (CPA).

Dr TAN Kee Wee, PhD is the Principal Partner of Ashlee Mae, an economic-consultancy firm. Prior to that, he was with the Institute of Policy Studies to help organize the IMF-World Bank Annual Meetings held in Singapore in September 2006.

Before that, he was the Director of Research & Corporate Communications at the Singapore Manufacturers' Federation. Prior to that, he spent many years in the finance industry as an economist, forecasting the trends of bonds, stocks, interest rates, and the global economies. He has worked with Standard Chartered Bank, OCBC Asset Management, and United Overseas Bank.

Before joining the financial sector in the early 1990s as an economist, Dr Tan started off his career as a journalist with the daily financial newspaper "Business Times". He had then just secured his PhD in Economics from the University of East Anglia, UK.



Despite spending most of his career in the private sector, he has kept in touch with the university. In 1999, he was appointed as an Adjunct Associate Professor of Economics at the National University of Singapore for a 2-year term.

Mr TH'NG Beng Hooi, CFA, is currently Secretariat Director of CFA Singapore (formerly known as the Singapore Society of Financial Analysts). He started his career as an airline executive with Singapore Airlines in 1991 and in 1994 worked for Kim Eng Securities as a trading representative and subsequently Vice President, Dealing & Resource Development. He also conducts training on an adjunct basis for the Singapore Exchange and its member companies, and Institut Bank-Bank Malaysia.

He has served in various voluntary capacities at CFA Singapore, Nanyang Technological University Alumni and Nanyang Business School Alumni since 1997. He read Accountancy at the Nanyang Technological University and graduated in 1991 with a Bachelor of Accountancy Degree. He subsequently obtained a CFA charter in 1997.

Mr Tolmas WONG, CFA, CFP, ChFP is currently a Senior Vice President (Sales), Private Clients Services with CIMB-GK & an adjunct lecturer at the Institute of Banking & Finance. He has over 15 years of experience in fund management and stockbroking, and has held various positions at United Overseas Bank, Citicorp Vickers and Schroder Securities.

Mr. Wong has graduated with a Bachelor of Business Administration at the National University of Singapore and Master of Applied Finance at the Macquarie University, Australia. He is a CFA charterholder, and is currently a Board Member of CFA Singapore and the Asian Securities Analysts Federation.

Ms Irene YAP, CFA is a lecturing consultant specializing in economics, investment and finance. She has taught at undergraduate and postgraduate levels and at professional courses, including the CFA® and the CFP^{CM} certification programs.

Prior to lecturing, Irene spent eight years as an investment strategist and economist at a local bank, with responsibility for global asset allocation. Irene was also involved in review of venture capital funds and private equity funds for investment opportunities. Irene graduated from the National University of Singapore with a B.Soc.Sci. (Hons) degree specializing in Economics and Statistics. She is a CFA charterholder since 1992.

Mr YEAP Soon Ann, CFA, FRM is a Vice President of Asset Management with Pacific Star Asset Management. He is based in Singapore and has extensive



experience in property fund management, regional real estate investment, asset management, due diligence and research.

Mr Yeap is a CFA charterholder and is a certified Financial Risk Manager. He graduated from the National University of Singapore with a 2nd class Honours degree in Estate Management. He also holds a Masters degree in Real Estate from NUS School of Design and Built Environment and another Masters degree in Applied Finance from NUS Business School.