

CFA - FICS RESEARCH PROGRAMME I

Trainers' Biodata

Alvin Lim, CPA is currently a research analyst for Chartered Asset Management and a corporate trainer with Universe Within Pte Ltd, having graduated from the National University of Singapore with a Bachelor of Mechanical Engineering in 2003 and ACCA in 2006.

In 2004, he started his career with a Japanese-listed trading company, Nagase Singapore Pte Ltd, as a sales executive before moving to RYO as a financial consultant. He was responsible for developing and implementing financial plans for individuals, businesses, and organizations, utilizing his expertise in tax and investment strategies, insurance, and real estate.

Benjamin Goh, CFA (preliminary foundation course trainer) has 10 years of diversified experience in management consulting, business process re-engineering, financial planning and analysis, project management and private equity.

His most recent role was in JP Morgan as the lead project manager for the firm's Asia Pacific corporate card conversion project. Prior to that, he was the business CFO for JP Morgan's Asia Pacific infrastructure group. Previous companies that Ben had worked in include Credit Suisse, Deloitte consulting and Hewlett Packard.

Ben received his Masters in Finance from the ICMA Center, United Kingdom, in 2002, became a Chartered Financial Analyst in 2001 and is currently vice-chairman of CFA Singapore Professional Development committee.

Dr Tan Kee Wee, PhD is the Principal Partner of Ashlee Mae, an economic consultancy firm. Prior to that, he was with the Institute of Policy Studies to help organize the IMF-World Bank Annual Meetings held in Singapore in September 2006.

Before that, he was the Director of Research & Corporate Communications at the Singapore Manufacturers' Federation. Prior to that, he spent many years in the finance industry as an economist, forecasting the trends of bonds, stocks, interest rates, and the global economies. He has worked with Standard Chartered Bank, OCBC Asset Management, and United Overseas Bank.

Before joining the financial sector in the early 1990s as an economist, Dr Tan started off his career as a journalist with the daily financial newspaper "Business Times". He had then just secured his PhD in Economics from the University of East Anglia, UK. Despite spending most of his career in the private sector, he has kept in touch with the university. In 1999, he was appointed as an Adjunct Associate Professor of Economics at the National University of Singapore for a 2-year term. He has 21 years of working experience (1998 – 2009) and has been teaching over the past 28 years (1981 – 2009).

Hon Shin Ming, CFA holds a BSc. (ISCS) from the National University of Singapore, and has been working in the banking industry since 2003, specialising in trading Derivatives and Short Term Interest Rates the last five years. He was with Credit Suisse Risk Management from 2003 to 2004 and Assistant Vice President, FX Option, KBC NV from 2004-2005.

He enjoys sharing his knowledge about financial markets and first taught the CFA in 2003 and has been an instructor with the CFA Singapore preparatory program since 2004 and more recently with the SGX Seminars public education program. In addition, he has conducted seminars for DBS Vickers.

He is now a private investor and an Associate Training Director of A.B. Maximus & Co Pte Ltd, a financial education provider for professional associations, banking institutes and financial institutions in South East Asia, including the Singapore Exchange and the Society of Remisers (Singapore).

Prior to this, he was Associate Director for emerging market currencies both in UBS Singapore and Zurich from 2005 to 2007.

Jason Wee, CFA graduated from the National University of Singapore with a degree in Computer Science, and went on to work as a management consultant in areas like strategy/operational re-engineering, in Booz Allen & Hamilton, a top US-based management consultant for 2.5 yrs from 1991 - 1993. This first job took him to countries like Nigeria, Indonesia, China (including HK), Taiwan, Malaysia and Indonesia where he helped multinationals in the steel, financial, oil & gas, Information technology and consumer goods industries.

In 1994, he joined CLSA, one of Asia's top equities research firms, and moved from equity analyst to strategy to management. For the next 13 years, Jason covered companies in various industries, e.g. Technology, Consumer, Banking, Conglomerates, and took on the role of Research Head for CLSA's Singapore operations. Later in 2004, he moved into the role of Sector Head for CLSA's smaller companies research, which meant collating research work across 11 countries in Asia. His last 10 years in CLSA included about 25% of his time coaching and training other younger analysts around Asia, and focused on financial training for the last 2 years. Throughout his 13-year broking career, Jason has been consistently ranked in the top three in his field, culminating in the top place for the regional smaller companies research for all three continents (Asia, Europe, US) in the prestigious Greenwich poll in 2005.

He has since set up his own company in 2007, Universe Within Pte Ltd, where he is the Executive Director and Chief Trainer. His company is a corporate training firm specializing in financial research training, in addition to providing other services like management of stress, fears/phobias/addictions and weight management. Jason is a CFA charterholder and also a certified Hypnotherapist affiliated to a local medical facility at the Camden Medical Centre.

Jimmy Suwono, CPA, CFA (preliminary foundation course trainer) is a qualified public accountant and has over 9 years experience in public accounting. He is currently a practicing partner in his own accounting firm serving local and overseas clients in diversified industries. He has worked from small to international CPA firms since 1999 handling portfolio of clients from local small and medium enterprises to multi-national corporations. He also has conducted tutorial for students in Chartered Financial Analyst programme for financial analysis subject and training for SGX academy.

Jimmy holds a Bachelor of Commerce (Accounting and Finance) degree from Curtin University of Technology, Australia. He is also a Singapore's qualified CPA and CFA Charterholder.

Joanna Tsang, CFA is currently a full-time lecturer in the School of Business & Accountancy, Ngee Ann Polytechnic. She is the Module Leader of "Investments" and "Portfolio Management". She has lectured and tutored in various other Banking and Finance modules including "Financial Planning", "Financial Management" and "Marketing of Financial Services" since 1989. Besides teaching, she has conducted talks on securities trading to industry and undertaken curriculum development for Finance modules for the Singapore Institute of Management. She gives talks to educators in the Personal Finance Seminar and conducts workshops to schools on Money Management.

Prior to joining Ngee Ann Polytechnic, she worked for several year from 1986 - 1989 in an investment holding company. During her stint in the investment holding company, she was responsible for securities research, security and portfolio analysis and trading of securities in the portfolio. Before that, from 1979 to 1981, Joanna was an Accounts/Finance Executive in a manufacturing company.

Oh Tuan Chim, CFA is currently Head of Consumer Bank Compliance, Standard Chartered Bank ("SCB"). Prior to his appointment at SCB, he held the position of Vice President, Head, Member Supervision Department, Risk Management & Regulation Division at the Singapore Exchange. His career also spanned several investment banks in Singapore, in various capacities including Assistant Vice President of Debt Original Group at OCBC Bank, Corporate Dealer of Treasury Marketing Sales at National Westminster Bank, Group Leader of Structured Derivatives Audit at Chase Manhattan Bank.

Mr. Oh is a member of ICPAS and a Fellow of the Institute of Chartered Certified Accountants. He graduated from the National University of Singapore with a Bachelor of Accountancy, Master of Science in Mathematics and Master of Science in Finance Engineering.

He also has a wealth of part-time teaching experience, having since 2005 been a guest lecturer with NUS and NTU on CFA Code Ethics & Professional Standards and a Training Instructor of Course Program organized by CFA Singapore which provides courses for CFA Examination Candidates.

Sharon Craggs, CFA is a Legal, Compliance and Risk Professional with 21 years experience across public and private sectors, managing diverse teams across 16 jurisdictions with core expertise in financial markets, including treasury and derivatives, consumer banking, wealth management and corporate banking. She has a law degree from Cambridge University, UK and qualified in England and Wales, State of New York and Republic of Singapore, and a CFA charterholder. She is currently an adjunct professor at the National University of Singapore and lecturer with the International Compliance Association (UK).

Sharon was previously Managing Director, Head of Group Legal and Compliance at DBS Group Ltd and Asia Regulatory Counsel at JPMorgan Chase. Prior to that, she was responsible for oversight and supervision of a portfolio of US, European and Asian investment banks, securities companies and asset managers at the Monetary Authority of Singapore.

She is an Executive Committee, Board Member and Advocacy Committee Co-Chair of CFA Singapore and a founding member of the Financial Women's Association (Singapore).

She has 14 years of relevant teaching and training experience on laws and regulations being a core responsibility of the legal and compliance function.

Sarjit Singh Sandhu, CA is a Chartered Accountant and has 18 years of experience in the public accounting profession and notably in financial analysis. He has worked on the audits and special engagements of many multinational corporations and public listed companies in Australia and Singapore. He is often invited as a speaker by government-linked companies and multinational corporations on the development of financial reporting standards and changes in regulatory environment.

He has 18 years of teaching experience and has been a lecturer for CFA financial statements analysis segment for the past 10 years.

Th'ng Beng Hooi, CFA, is Secretariat Director, CFA Singapore and Managing Director of A.B. Maximus & Co Pte Ltd. He was formerly Vice President for Resource Development and Dealing, and Trading Representative with a listed securities brokerage in Singapore from 1994 to 2005.

Through A.B. Maximus, he has been providing education consultancy and secretariat services since 1997 for various quasi-government and non-for-profit organizations, such as CFA Singapore, Singapore Exchange, Society of Remisiers Singapore, Association for Financial and Commodity Traders Singapore, and Institute Bank-Bank Malaysia. In particular, he specializes in finance and investment related courses including the CFA programme and securities licensing and continuing education programmes.

He has been teaching various programmes since 1994, including the Trading Representative / CMFAS examination preparation, CFA Institute Code & Standards, Time Value, Equities Valuation and various other finance and investment subjects.

He has a Chartered Financial Analyst qualification from the CFA Institute, USA and holds a Bachelor of Accountancy degree (Honours 2nd Upper) from the National University of Singapore. He currently serves as the Second Vice President of the Nanyang Technological University Alumni Club and Membership Chair of CFA Singapore.

Tolmas Wong, MAppFin, CFA, CFP is currently a Director, Private Clients Services team at CIMB-GK Securities. He has worked in various positions at UOB, Schrodgers & Citicorp group & has over 20 years of experience in investment management & advisory services.

Tolmas graduated with a BBA at the NUS & MAppFin at the Macquarie University, Australia. He is a CFA since 1993 & a CFP since 2003.

He is currently serving as a Board member of CFA Singapore (an affiliate of CFA Institute) & an adjunct professor with the SMU, teaching Advance Portfolio Management for its Master in Applied Finance program since 2008. He is also lecturing part time for the CFA revision program, SHRI's Diploma for Investment program & various continued education courses in investments for the Institute for Banking & Finance & the Society of Remisiers for more than 5 years.

Wang Tao is an investment strategist with more than 15 years of investment advisory and research experience on stocks, FX and commodities. He is a dynamic and excellent speaker with high profile on public media and a key professional well sought by frontline sales to support their efforts in providing various on-demand market views, trading ideas and reports. He is also an active educator on major in-house workshops, local and overseas seminars and has 9 years of training experience.

He is presently President of Technical Analyst Society of Singapore. He was Associate Director (Technical Strategy) at UBS and Investment Strategist at MF Global, Singapore.

He has an MBA(Finance) from the University of Leicester, U.K. and Bachelor of Arts Degree (major in English Language and Literature) from Henan University, China. He also has the Capital Markets and Financial Advisory Services Examination (CMFAS) Module 1, 2, 7 qualifications.

Yeo Theng Hee (preliminary foundation course trainer) is currently with the the multi-strategy equities team at Henderson Global Investors. His primary focus is on the Event Driven strategy (IPOs, secondary placements and block trades), and conducts research on this Liquidity anomaly across Asia Pacific region. He also conducts research into in-sector pair trades, filtered first by a quantitative screen and then backed with fundamentals.

Mr Yeo graduated with a Bachelor of Electrical Engineering from National University of Singapore and have completed the CFA Program. He was also a student at the CFA Prep Program by CFA Singapore for Levels 2 and 3 and benefited from the programme. He is currently actively involved in the Networking Committee and Candidate Programmes Committee.